

# DAPPER-3™

## Dimensional Assessment for Patient Placement Engagement and Recovery

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Name: \_\_\_\_\_

Gender: \_\_\_ Male \_\_\_ Female

ID: \_\_\_\_\_

Date of Birth: \_\_\_ / \_\_\_ / \_\_\_ Age: \_\_\_

### Evaluation Dates

Date for A-1: \_\_\_ / \_\_\_ / \_\_\_

Date for A-2: \_\_\_ / \_\_\_ / \_\_\_

Date for B-1: \_\_\_ / \_\_\_ / \_\_\_

Date for B-2: \_\_\_ / \_\_\_ / \_\_\_

Date for C-1: \_\_\_ / \_\_\_ / \_\_\_

Date for C-2: \_\_\_ / \_\_\_ / \_\_\_

month day year

month day year

With which ethnic grouping does patient identify:

- \_\_\_ (1) Hispanic/Latino – white
- \_\_\_ (2) Hispanic/Latino – non-white
- \_\_\_ (3) African-American
- \_\_\_ (4) Native American
- \_\_\_ (5) Native Hawaiian/Pacific Islander
- \_\_\_ (6) Asian
- \_\_\_ (7) Middle Eastern
- \_\_\_ (8) Caucasian/White
- \_\_\_ (9) Multiracial/Biracial/Other

Current marital status at entry into treatment:

- \_\_\_ (1) Never married
- \_\_\_ (2) Divorced
- \_\_\_ (3) Separated
- \_\_\_ (4) Widowed
- \_\_\_ (5) Living as married
- \_\_\_ (6) Married

Highest degree earned:

- \_\_\_ (1) No high school diploma earned
- \_\_\_ (2) High school diploma or GED
- \_\_\_ (3) Vocational/technical/business school grad.
- \_\_\_ (4) Associate degree
- \_\_\_ (5) Bachelor's degree
- \_\_\_ (6) Master's, doctoral, or other postgrad. degree

Employment status upon entry into treatment:

- \_\_\_ (1) Working full time for pay (30 hr./wk. or more)
- \_\_\_ (2) Working part time for pay (< 30 hr./wk.)
- \_\_\_ (3) Unemployed
- \_\_\_ (4) Not working for pay by choice
- \_\_\_ (5) Disabled
- \_\_\_ (6) Retired

Primary job type when working for pay:

- \_\_\_ (1) Professional
- \_\_\_ (2) Upper-level management/business owner
- \_\_\_ (3) Mid-level management
- \_\_\_ (4) Sales/marketing
- \_\_\_ (5) Supervisory
- \_\_\_ (6) Craft/skilled trades/technical
- \_\_\_ (7) Office/white collar/clerical
- \_\_\_ (8) Transportation/equipment operator
- \_\_\_ (9) Laborer/unskilled worker
- \_\_\_ (10) Service worker (waiter/waitress)
- \_\_\_ (11) Domestic worker (housekeeper, etc.)
- \_\_\_ (12) Military service
- \_\_\_ (13) Other (specify) \_\_\_\_\_

### Diagnostic Impressions

Substance	Not Determined	No Diagnosis	Mild	Moderate	Severe
Alcohol					
Marijuana					
Cocaine (powder or crack)					
Stimulants of any type					
Sedative/hypnotics/etc.					
Heroin					
Inhalants					
PCP					
Hallucinogens					
Other/unknown/mixed					

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# DAPPER-3™ PLACEMENT INDICATIONS

## Dimensional Assessment for Patient Placement Engagement and Recovery

Name: \_\_\_\_\_

ID #: \_\_\_\_\_

### Dimensional Specifications for Admission

- Level 0.5 Assessed as meeting at least one of the specifications in Dimensions 4, 5, or 6. Identifiable problems in Dimensions 1, 2, or 3 are stable or being addressed appropriately in outpatient medical or mental health services. *(The ASAM Criteria (2013) pp. 179-183)*
- Level 1.0 All six dimensions meet Level I criteria or present no problem (Analogous to Level 0.5). *(The ASAM Criteria (2013) pp. 184-196)*
- Level 2.1 Direct admission to Level 2.1 advisable for patient who meets specifications in Dimension 2 (if biomedical conditions or problems exist) and Dimension 3 (if emotional, behavioral, or cognitive conditions or problems exist), as well as in at least one of Dimensions 4, 5, or 6. *(The ASAM Criteria (2013) pp. 196-207)*
- Level 2.5 Direct admission to Level 2.5 advisable for patient who meets specifications in Dimension 2 (if biomedical conditions or problems exist) and in Dimension 3 (if emotional, behavioral, or cognitive conditions or problems exist), as well as in at least one of Dimensions 4, 5, or 6. *(The ASAM Criteria (2013) pp. 208-218)*
- Level 3.1 Assessed as meeting specifications in each of the six dimensions. *(The ASAM Criteria (2013) pp. 222-224)*
- Level 3.3 Assessed as meeting specifications in each of the six dimensions. *(The ASAM Criteria (2013) pp. 224-243)*
- Level 3.5 Assessed as meeting specifications in each of the six dimensions. *(The ASAM Criteria (2013) pp. 244-262)*
- Level 3.7 Assessed as meeting specifications in at least two of the six dimensions, at least one of which is in Dimension 1, 2, or 3. *(The ASAM Criteria (2013) pp. 265-279)*
- Level 4.0 Assessed as meeting specifications in at least one of Dimensions 1, 2, or 3. *(The ASAM Criteria (2013) pp. 280-290)*
- Level OTP Assessed as meeting the required opioid treatment program specifications in Dimensions 1 through 6. *(The ASAM Criteria (2013) pp. 293-298)*

### PLACEMENT PROFILE

Indicate the level of care recommended on each dimension for each assessment.

	1 <sup>st</sup> Asmt.	2 <sup>nd</sup> Asmt.	3 <sup>rd</sup> Asmt.	4 <sup>th</sup> Asmt.	5 <sup>th</sup> Asmt.	6 <sup>th</sup> Asmt.
1. Intoxication/Withdrawal	_____	_____	_____	_____	_____	_____
2. Biomedical Conditions/Complications	_____	_____	_____	_____	_____	_____
3. Emotional/Behavioral/Cognitive	_____	_____	_____	_____	_____	_____
4. Readiness to Change	_____	_____	_____	_____	_____	_____
5. Relapse/Cont. Use/Problem Potential	_____	_____	_____	_____	_____	_____
6. Recovery Environment	_____	_____	_____	_____	_____	_____

Date of Assessment: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_    \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Level of Care Indicated: \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_

Level of Care Received: \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_

Reason for Placement Difference, if any \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_    \_\_\_\_\_

Reasons for Differences: Blank if no difference; 1. Service not available; 2. Provider judgment; 3. Patient preference; 4. On waiting list for appropriate level; 5. No payment resource; 6. Geographic accessibility; 7. Family responsibility; 8. Language; 9. Other – not listed.

Outcome If Indicated Level of Care Was Not Provided: circle only one number -(see *The ASAM Criteria* 2013, p 126)

- 1. Admitted to acute care setting; 2. Discharged to street; 3. Continued stay in acute care facility; 4. Incarcerated; 5. Client dropped out;
- 6. Other (Specify) \_\_\_\_\_

Document specific areas of concern for the treatment plan on the last page of this booklet.

## DAPPER-3 Dimension 1: Acute Intoxication / Withdrawal Potential

USE SCALE	A-1	B-1	C-1
	_____	_____	_____

1.1. Last Use	A	B	C
No use in past month.....	0	0	0
No use in past 3 days .....	1	1	1
Use in past 3 days .....	2	2	2
Use within past day .....	3	3	3
Use within past 12 hours.....	4	4	4

*If rating on Item 1.1 is 0, go to next Dimension.*

1.2. Quantity of Recent Use	A	B	C
No use or far below intoxication e.g. 1-2 drinks.....	0	0	0
Increased use with well-controlled social behavior .....	1	1	1
Use of substance results in obvious intoxication .....	2	2	2
Use of substance results in uncontrolled behavior.....	3	3	3
Heavy quantities result in ongoing dysfunction.....	4	4	4

1.3. Frequency of Recent Use	A	B	C
No use or used once or twice .....	0	0	0
Sporadic or less than weekly.....	1	1	1
At least weekly use .....	2	2	2
Daily use .....	3	3	3
Multiple substances used on a daily basis.....	4	4	4

1.4. Potentiating Substances – Additive Effects	A	B	C
No use of multiple potentiating substances .....	0	0	0
Possible potentiating combinations ingested .....	1	1	1
Ingested small amount of potentiating substances.....	2	2	2
Ingested substantial quantity of substances.....	3	3	3
Potentially lethal combination of substances.....	4	4	4

INTOXICATION SCALE	A-1	B-1	C-1
	_____	_____	_____

1.5. Level of Current Intoxication	A	B	C
None – not indicated .....	0	0	0
Sub intoxication level .....	1	1	1
Mildly intoxicated.....	2	2	2
Very intoxicated.....	3	3	3
Stuporous.....	4	4	4

1.6. Physical Signs and Symptoms of Intoxication	A	B	C
Vital signs, gait, speech, coordination normal.....	0	0	0
Mildly unstable vital signs, speech, gait, coordination .....	1	1	1
Mod. unstable vital signs, speech, gait, coordination ..	2	2	2
Severely unstable vital signs, gait, coordination.....	3	3	3
Life-threatening changes in vital signs .....	4	4	4

1.7. Mental Signs & Symptoms of Intoxication	A	B	C
Oriented, alert, normal mental function.....	0	0	0
Mild disturbance of mood, cognition, function .....	1	1	1
Mod. disturbance of mood, cognition, function.....	2	2	2
Fluctuating orientation, severe disturb. in function .....	3	3	3

Disoriented, clouded consciousness, or psychotic..... 4 4 4

WITHDRAWAL SCALE	A-1	B-1	C-1
	_____	_____	_____

1.8. Physical Signs and Symptoms of Withdrawal	A	B	C
No problems: vital signs, tremor, sweats, GI.....	0	0	0
Mildly abnormal vital signs, tremor, sweats, etc .....	1	1	1
Mod. unstable vital signs, CNS or GI problems.....	2	2	2
Severe unstable vital signs, CNS or GI problems.....	3	3	3
Life-threatening vital signs, seizures.....	4	4	4

1.9. Mental Status Signs & Symptoms of Withdrawal	A	B	C
Oriented, alert, full mental function .....	0	0	0
Mild anxiety, agitation, depression, dysfunction.....	1	1	1
Mod. Depression, anxiety, agitation, dysfunction .....	2	2	2
Severe depression, agitation, anxiety, dysfunction.....	3	3	3
Suicidal, psychotic, disoriented, hallucinating .....	4	4	4

1.10. History of Withdrawal Problems	A	B	C
No prior history of withdrawal problems .....	0	0	0
Minor problems noted in prior withdrawal.....	1	1	1
History of moderate withdrawal problems .....	2	2	2
History of severe withdrawal problems.....	3	3	3
History of life-threatening withdrawal problems .....	4	4	4

MODIFICATION FACTORS SCALE	A-1	B-1	C-1
	_____	_____	_____

1.11. Client's Coping Skills with Intoxication/Withdrawal Symptoms	A	B	C
Fully able to cope with intoxication/withdrawal .....	0	0	0
Mild difficulty tolerating symptoms/functioning .....	1	1	1
Moderate difficulty tolerating symptoms/coping .....	2	2	2
Severe difficulty tolerating symptoms/functioning .....	3	3	3
Unable to tolerate or cope with symptoms .....	4	4	4

1.12. Living Arrangement Regarding Withdrawal Management	A	B	C
Persons can fully assist in withdrawal management....	0	0	0
Someone available to offer partial assistance.....	1	1	1
Unreliable persons available.....	2	2	2
No one available for withdrawal management .....	3	3	3
Living in environment that encourages use .....	4	4	4

**Comments:** \_\_\_\_\_

## DAPPER-3 Dimension 2: Biomedical Conditions / Complications

MEDICAL SEVERITY SCALE	A-1	B-1	C-1
	___	___	___

TREATMENT ISSUES SCALE	A-1	B-1	C-1
	___	___	___

2.1. Acute Medical Condition	A	B	C
No acute medical condition .....	0	0	0
Few medical problems, mild symptoms .....	1	1	1
Acute, non life-threatening problems .....	2	2	2
Serious, unstable medical condition .....	3	3	3
Severe, acute, incapacitating medical condition(s).....	4	4	4

2.2. Pregnancy	A	B	C
Not pregnant.....	0	0	0
Pregnant and receiving prenatal care .....	1	1	1
Pregnant, but no prenatal care; or having problems ...	2	2	2
Near term pregnancy or moderate complications .....	3	3	3
Severe, acute pregnancy complications .....	4	4	4

2.3. Chronic Medical Condition/Disability	A	B	C
No chronic medical problem.....	0	0	0
Mild chronic medical condition .....	1	1	1
Moderate condition poses treatment challenges .....	2	2	2
Unstable condition interferes with treatment.....	3	3	3
Severe, unstable condition .....	4	4	4

*If Items 2.1, 2.2, and 2.3 are all 0, go to next Dimension.*

MEDICAL MANAGEMENT SCALE	A-1	B-1	C-1
	___	___	___

2.4. Need for Stabilization	A	B	C
No stabilization required.....	0	0	0
Stabilization does not require close supervision .....	1	1	1
Stabilization requires daily supervision .....	2	2	2
Stabilization requires 24 hour observation .....	3	3	3
Life-threatening condition requires stabilization .....	4	4	4

2.5. Need for Medical/Nursing Observation	A	B	C
No observation required for chronic condition .....	0	0	0
At least weekly observation/tests required .....	1	1	1
Nursing observation required several days/wk .....	2	2	2
Daily observation required.....	3	3	3
24-hour intensive observation required .....	4	4	4

2.6. Frequency of Medication Management	A	B	C
No medications .....	0	0	0
Medication management 3-4 times/year.....	1	1	1
Medication management monthly.....	2	2	2
At least weekly medication management .....	3	3	3
Close daily medication management essential .....	4	4	4

2.7. Adherence to Medication Requirements	A	B	C
No medication required .....	0	0	0
History of good adherence.....	1	1	1
Mostly adherent .....	2	2	2
Mostly non-adherent.....	3	3	3
Not at all adherent with medications .....	4	4	4

2.8. Biomedical Impacts on Functioning	A	B	C
No impact on functioning.....	0	0	0
Mild impediment to functioning.....	1	1	1
Moderate impediment to functioning.....	2	2	2
Substantial impediment to functioning.....	3	3	3
Serious impediment to functioning in treatment .....	4	4	4

2.9. Ability to Tolerate and Cope with Symptoms	A	B	C
Full ability to tolerate, cope with discomfort.....	0	0	0
Adequate ability to tolerate, cope with discomfort.....	1	1	1
Some difficulty to tolerate, cope with discomfort .....	2	2	2
Poor ability to tolerate, cope with discomfort .....	3	3	3
Unable to tolerate, cope with discomfort.....	4	4	4

2.10. Impediments to Treatment and Recovery	A	B	C
No impact on treatment and recovery.....	0	0	0
Mild impediment and distraction.....	1	1	1
Moderate impediment and distraction .....	2	2	2
Substantial impediment and distraction.....	3	3	3
Severe impediment to functioning in treatment.....	4	4	4

**Comments:**

## DAPPER-3 Dimension 3: Emotional/Behavioral/Cognitive Conditions and Complications

VIOLENCE POTENTIAL SCALE	A-1	B-1	C-1
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### 3.1. Violence Potential

	A	B	C
No history or indication of violence .....	0	0	0
Mild tendency toward violence .....	1	1	1
History of occasional violence toward objects .....	2	2	2
History of occasional violence toward people .....	3	3	3
Chronic violent behavior; currently violent .....	4	4	4

### 3.2. Emotional Volatility

	A	B	C
Not volatile .....	0	0	0
Volatile only with substantial provocation .....	1	1	1
Volatile with moderate provocation .....	2	2	2
Prone to volatility with minimal provocation .....	3	3	3
Very volatile and unpredictable .....	4	4	4

### 3.3. Dangerousness to Self

	A	B	C
No indication of self-harm thoughts .....	0	0	0
Occasional thoughts of self-harm .....	1	1	1
Frequent suicidal thoughts but not current .....	2	2	2
Currently suicidal without plan or means .....	3	3	3
Currently suicidal with plan and means .....	4	4	4

### 3.4. Dangerousness to Others

	A	B	C
No thoughts about harming others .....	0	0	0
General thoughts of harming others .....	1	1	1
Specific target but no plan .....	2	2	2
Current desire to harm someone .....	3	3	3
Has target and intent or plan to harm .....	4	4	4

### 3.5. Dangerousness Due to Addictions or Mental Condition

	A	B	C
No indication of dangerousness .....	0	0	0
Slight risk to self/others due to mental condition .....	1	1	1
Moderate risk .....	2	2	2
Significant risk .....	3	3	3
Mental condition poses imminent danger .....	4	4	4

FUNCTIONING SCALE	A-1	B-1	C-1
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### 3.6. Cognitive/Intellectual Functioning

	A	B	C
Normal cognitive ability; no problem .....	0	0	0
Slight deficit(s) .....	1	1	1
Moderate deficit(s) .....	2	2	2
Severe deficit(s) .....	3	3	3
Profound deficit(s) .....	4	4	4

### 3.7. Attention/Ability to Focus on Treatment

	A	B	C
No difficulty focusing on tasks .....	0	0	0
Some distractibility .....	1	1	1
Moderately distractible .....	2	2	2
Short attention/very distractible .....	3	3	3
Unable to stay focused on tasks .....	4	4	4

### 3.8. Social Functioning

	A	B	C
No impairments in social functioning .....	0	0	0
Adequate functioning despite mild problems .....	1	1	1
Moderate impairments in social relationships .....	2	2	2
Significant functional impairments .....	3	3	3
Serious and persistent impairments .....	4	4	4

### 3.9. Medication Adherence

	A	B	C
No medications needed .....	0	0	0
Highly adherent .....	1	1	1
Inconsistent adherence .....	2	2	2
Non-adherence poses potential problem .....	3	3	3
Non-adherence poses serious problems .....	4	4	4

### 3.10. Self-care

	A	B	C
Fully able to care for self .....	0	0	0
Minimal self-care problems .....	1	1	1
Moderate level of self-care problems .....	2	2	2
Severe self-care problems .....	3	3	3
Unable to care for self .....	4	4	4

CO-OCCURRING SCALE	A-1	B-1	C-1
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### 3.11. Thought Disorder Indications

	A	B	C
No thought disorder or well controlled .....	0	0	0
Eccentric behavior – possible thought disorder .....	1	1	1
Thought disorder affects functioning .....	2	2	2
Thought disorder is pronounced .....	3	3	3
Thought disorder severely affects functioning .....	4	4	4

### 3.12. Mood Related Problems

	A	B	C
No mood related problems .....	0	0	0
Mild mood problems or controlled condition .....	1	1	1
Moderate mood symptoms .....	2	2	2
Meets criteria for mood disorder .....	3	3	3
Disorder severely affects functioning .....	4	4	4

### 3.13. Anxiety

	A	B	C
No anxiety problems .....	0	0	0
Mild anxiety or controlled condition .....	1	1	1
Moderate anxiety symptoms .....	2	2	2
Meets criteria for anxiety disorder .....	3	3	3
Anxiety disorder seriously affects functioning .....	4	4	4

### 3.14. PTSD or other trauma disorder

	A	B	C
No indication of traumatic experience .....	0	0	0
Traumatic events recalled, not diagnostic .....	1	1	1
Possible diagnosis, monitoring for other indications .....	2	2	2
Meets criteria for PTSD .....	3	3	3
Disorder is highly detrimental to treatment .....	4	4	4

**Dimension 3 Emotional/Behavioral/Cognitive Conditions and Complications (Continued)**

**3.15. Eating Disorder**

No indication of a problem .....	0	0	0
Some concern about diet/eating behaviors .....	1	1	1
Possible diagnosis, monitoring for other indications...	2	2	2
Meets criteria for an eating disorder .....	3	3	3
Disorder is highly detrimental to treatment .....	4	4	4

**3.16. Gambling Disorder**

No indication of a problem .....	0	0	0
Some concern about wagering .....	1	1	1
Possible diagnosis, monitoring for other indications...	2	2	2
Meets criteria for a gambling disorder .....	3	3	3
Disorder is highly detrimental to treatment .....	4	4	4

**3.17. Other Axis I Disorder Problems**

None indicated .....	0	0	0
Mild symptoms or indications .....	1	1	1
Moderate symptoms or indications .....	2	2	2
Meets criteria for a disorder .....	3	3	3
Disorder is detrimental to treatment .....	4	4	4

	A-1	B-1	C-1
<b>PERSONALITY DISORDER SCALE</b>	_____	_____	_____

**3.15. Antisocial Personality Behaviors**

	<b>A</b>	<b>B</b>	<b>C</b>
No antisocial behaviors noted.....	0	0	0
Few indications of antisocial behaviors.....	1	1	1
Moderate level of antisocial behaviors .....	2	2	2
Meets criteria for Antisocial Personality Disorder .....	3	3	3
Disorder seriously affects functioning.....	4	4	4

**3.16. Other Personality Disorder Problems**

None indicated .....	0	0	0
Mild symptoms or indications .....	1	1	1
Moderate symptoms or indications.....	2	2	2
Meets criteria for a disorder.....	3	3	3
Disorder is detrimental to treatment .....	4	4	4

**3.17. Guilt**

Guilt feelings not severe/positive motivation .....	0	0	0
Guilt is mild distraction for treatment .....	1	1	1
Guilt is moderate distraction.....	2	2	2
Guilt impairs treatment efforts.....	3	3	3
Guilt paralyzes treatment efforts .....	4	4	4

Comments:

## DAPPER-3 Dimension 4: Readiness to Change

<b>UNDERSTANDING SCALE</b>	A-1	B-1	C-1
	_____	_____	_____

**4.1. Awareness of Condition** A B C

Aware of substance related problems .....	0	0	0
Voices awareness of problems.....	1	1	1
Acknowledges, but ambivalent about problems .....	2	2	2
Lacks awareness of problems .....	3	3	3
Actively rejects existence of problems .....	4	4	4

**4.2. Acceptance of Responsibility for Behavior**

Fully accepts responsibility for problems.....	0	0	0
Mostly accepts responsibility for problems .....	1	1	1
Some acceptance of responsibility for problems .....	2	2	2
Little acceptance of responsibility for problems.....	3	3	3
Blames others for virtually all problems.....	4	4	4

**4.3. Rationalization/Minimization**

No rationalization or minimization .....	0	0	0
Little rationalization or minimization .....	1	1	1
Some rationalization or minimization.....	2	2	2
Frequently rationalizes and minimizes .....	3	3	3
Very high level of rationalization/minimization.....	4	4	4

**4.4. Understands Mental Health Problems**

No problem identified or excellent understanding.....	0	0	0
Mostly understands mental health issues.....	1	1	1
Some understanding of mental health issues .....	2	2	2
Little understanding of mental health issues.....	3	3	3
No discernible ability to recognize issues.....	4	4	4

**4.5. Interest In Changing**

Active interest in making changes .....	0	0	0
Willing to change but has mild reservations.....	1	1	1
Marked ambivalence to making changes.....	2	2	2
Little interest in change; many preconditions.....	3	3	3
Sees no reason for change.....	4	4	4

**4.6. Testing Ability to Control Use**

No intent to try using again.....	0	0	0
Mild interest in trying controlled use.....	1	1	1
Ambivalent about testing ability to control use .....	2	2	2
Considering attempt to try controlled use.....	3	3	3
Plans to try controlled use.....	4	4	4

<b>COMMITMENT SCALE</b>	A-1	B-1	C-1
	_____	_____	_____

**4.7. Confidence in Ability to Change**

Realistic confidence in ability to change .....	0	0	0
Mostly confident about ability to change.....	1	1	1
Some confidence about ability to change .....	2	2	2
Little confidence in ability to change.....	3	3	3
Lacks confidence or is unrealistically optimistic.....	4	4	4

**4.8. Willing to Accept Help for Change** A B C

Fully accepts help .....	0	0	0
Mostly accepts help .....	1	1	1
Ambivalent about help.....	2	2	2
Little acceptance of need for help.....	3	3	3
Hostile unwillingness to accept help .....	4	4	4

**4.9. Personal Treatment Goals**

Can articulate clear personal treatment goals.....	0	0	0
Significant sense of personal treatment goals.....	1	1	1
Some sense of personal treatment goals .....	2	2	2
Little sense of personal treatment goals.....	3	3	3
No personal treatment goals .....	4	4	4

<b>INTERPERSONAL SCALE</b>	A-1	B-1	C-1
	_____	_____	_____

**4.10. Openness to Involve Family/Others**

Completely open (family/others positive resource).....	0	0	0
Mostly open, but some questions.....	1	1	1
Ambivalent, has reservations, or no one available .....	2	2	2
Refuses permission to contact; may be persuaded .....	3	3	3
Hostile refusal of any involvement in treatment.....	4	4	4

**4.11. Willingness to Cut Ties with Negative Influences**

No negative influences identified .....	0	0	0
Willing to distance from negative influences .....	1	1	1
Ambivalence .....	2	2	2
Resistant to distancing from negative influences .....	3	3	3
Unable/totally unwilling to cut ties.....	4	4	4

**4.12. Comfort with Self-help/Support Groups**

Actively engaged or willing to engage .....	0	0	0
Mild reservations about utilizing self-help/support .....	1	1	1
Ambivalent about self-help/support .....	2	2	2
Resistant to attending self-help/support groups.....	3	3	3
Refuses to use self-help/support groups .....	4	4	4

<b>FOLLOW-THROUGH SCALE</b>	A-1	B-1	C-1
	_____	_____	_____

**4.13. Follow-through on Plan for Change**

Excellent indication of ability to follow-through .....	0	0	0
Good indication of ability to follow-through.....	1	1	1
Some indication of ability to follow-through .....	2	2	2
Poor indication of ability to follow-through.....	3	3	3
Refuses or is unable to follow-through.....	4	4	4

**4.14. Follow-through & Need for Action**

No problems associated with follow-through.....	0	0	0
Failure to follow-through could result in some prob... 1	1	1	1
Follow-through failure could result in moderate prob. 2	2	2	2
Follow-through failure likely to result in serious prob. 3	3	3	3
Follow-through failure poses imminent dangers .....	4	4	4

## DAPPER-3 Dimension 5: Relapse / Continued Use / Continued Problem Potential

ENGAGEMENT SCALE	A-1	B-1	C-1
	_____	_____	_____

5.1. Engagement in Ongoing Recovery	A	B	C
Highly willing to do whatever is necessary .....	0	0	0
Moderate willingness to do whatever is necessary .....	1	1	1
Ambivalent about taking necessary steps .....	2	2	2
Unwilling to engage in recovery efforts .....	3	3	3
Actively opposed to recovery activities .....	4	4	4

5.2. Self Help Recovery Involvement	A	B	C
High level of willingness to participate .....	0	0	0
Moderate willingness to participate .....	1	1	1
Ambivalent about participation .....	2	2	2
Generally unwilling to participate .....	3	3	3
Actively opposed to participation .....	4	4	4

5.3. Engagement in Recovery Maintenance Services	A	B	C
High level of willingness to participate .....	0	0	0
Moderate willingness to participate .....	1	1	1
Ambivalent about participation .....	2	2	2
Generally unwilling to participate .....	3	3	3
Actively opposed to participation .....	4	4	4

5.4. Expectancies about Treatment	A	B	C
Realistic and positive expectancies .....	0	0	0
Positive but somewhat unrealistic expectancies .....	1	1	1
Mixed expectancies about change .....	2	2	2
No expectancies for positive change .....	3	3	3
Negative/grossly unrealistic expectancies .....	4	4	4

RISK FACTORS SCALE	A-1	B-1	C-1
	_____	_____	_____

5.5. Demographic Risk Factors (under 25; never married, unemployed; no H.S. diploma or GED)	A	B	C
No demographic risk factors .....	0	0	0
One or two changeable demographic risk factors .....	1	1	1
One or two durable demographic risk factors .....	2	2	2
Three demographic risk factors .....	3	3	3
Four or more significant demographic risks .....	4	4	4

5.6. Alcohol Related Risk Factors	A	B	C
No use .....	0	0	0
Episodic use (less than weekly) .....	1	1	1
Regular use (once or twice a week) .....	2	2	2
Frequent use (3 or more times a week) .....	3	3	3
Daily intoxication .....	4	4	4

5.7. Drug Related Risk Factors	A	B	C
No use of illicit drugs .....	0	0	0
Sporadic use of drugs (<1X/week), not injected .....	1	1	1
Moderate use of drugs (1-3X/week), not injected .....	2	2	2
Frequent use (>3X/week) and/or smoking drugs .....	3	3	3
Daily use of illicit drugs and/or IV drug use .....	4	4	4

5.8. Mental Health Related Risk Factors	A	B	C
No co-occurring mental health problems .....	0	0	0
Acute MH problems pose minimal risks .....	1	1	1
Moderately stable MH problems pose moderate risk .....	2	2	2
Serious/chronic MH problems pose ongoing risk .....	3	3	3
Unstable MH problems pose high risk .....	4	4	4

REACTIVITY SCALE	A-1	B-1	C-1
	_____	_____	_____

5.9. Pharmacological Responsivity (positive reinforcement from use and/or negative withdrawal reinforcement)	A	B	C
Negligible reinforcement .....	0	0	0
Mild reinforcement .....	1	1	1
Moderate reinforcement .....	2	2	2
Strong reinforcement .....	3	3	3
Very strong reinforcement .....	4	4	4

5.10. Reactivity to Chronic Stress	A	B	C
Non-reactive .....	0	0	0
Mildly reactive .....	1	1	1
Moderately reactive .....	2	2	2
Strongly reactive .....	3	3	3
Intensely reactive .....	4	4	4

5.11. Reactivity to Acute Cues (trigger objects / situations)	A	B	C
Non-reactive .....	0	0	0
Mildly reactive .....	1	1	1
Moderately reactive .....	2	2	2
Strongly reactive .....	3	3	3
Intensely reactive .....	4	4	4

CRAVING SCALE	A-1	B-1	C-1
	_____	_____	_____

5.12. Level of Craving Experience	A	B	C
No cravings .....	0	0	0
Infrequent cravings .....	1	1	1
Intermittent cravings .....	2	2	2
Frequent cravings .....	3	3	3
Constant cravings .....	4	4	4

5.13. Ability to Resist Cravings/Impulses	A	B	C
Able to resist cravings/impulses .....	0	0	0
Able to resist cravings/impulses for long periods .....	1	1	1
Able to resist cravings/impulses for brief periods .....	2	2	2
Able to resist cravings briefly only with support .....	3	3	3
Unable to resist cravings .....	4	4	4



RELAPSE FACTORS SCALE	A-1	B-1	C-1
	___	___	___

5.14. Recognizing Relapse Triggers/Risks	A	B	C
Excellent understanding and recognition.....	0	0	0
Able to recognize/understand most risks.....	1	1	1
Able to recognize some relapse risks.....	2	2	2
Unable to recognize/understand highest risks.....	3	3	3
No discernable ability to recognize relapse risks.....	4	4	4

5.15. Ability to Cope with Events/Feelings	A	B	C
Deals effectively with negative events/feelings.....	0	0	0
Some difficulty in addressing.....	1	1	1
Moderate difficulty.....	2	2	2
Serious problems dealing with negative events.....	3	3	3
Negative events/feelings typically trigger use.....	4	4	4

5.16. Relapse Prevention Skills	A	B	C
Excellent skills.....	0	0	0
Moderate ability to apply skills.....	1	1	1
Inconsistent application of skills.....	2	2	2
Little ability to apply skills.....	3	3	3
No ability to apply skills.....	4	4	4

PSYCHOLOGICAL FACTORS SCALE	A-1	B-1	C-1
	___	___	___

5.17. Cognitive Impairments Affecting Relapse	A	B	C
Cognitive functioning intact re: relapse.....	0	0	0
Mild impairment.....	1	1	1
Moderate impairment.....	2	2	2
Significant cognitive impairments.....	3	3	3
Cognitive impairment with lack of awareness.....	4	4	4

5.18. Locus of Control/Susceptibility to External Influence	A	B	C
Behavior is self-directed.....	0	0	0
Marginally affected by external influences.....	1	1	1
Moderately affected by external influences.....	2	2	2
Substantially affected by external influences.....	3	3	3
Totally outer-directed.....	4	4	4

5.19. Self-Efficacy	A	B	C
Appropriately very confident & self-determined.....	0	0	0
Mostly confident.....	1	1	1
Uncertain.....	2	2	2
Dubious.....	3	3	3
Very pessimistic or inappropriately confident.....	4	4	4

5.20. Impulsivity	A	B	C
Deliberate – no impulsivity noted.....	0	0	0
Mostly non-impulsive.....	1	1	1
Neither impulsive nor deliberate.....	2	2	2
Somewhat impulsive.....	3	3	3
Very impulsive.....	4	4	4

5.21. Risk-Taking/Thrill-Seeking	A	B	C
Not risk-taking or thrill-seeking.....	0	0	0
Low level of risk-taking or thrill-seeking.....	1	1	1
Moderate level of risk-taking or thrill-seeking.....	2	2	2
High level of risk-taking or thrill-seeking.....	3	3	3
Dangerous level of risk-taking or thrill-seeking.....	4	4	4

5.22. Active vs. Passive Coping Style	A	B	C
Demonstrates active efforts.....	0	0	0
Somewhat active.....	1	1	1
Neither active nor passive.....	2	2	2
Somewhat passive.....	3	3	3
Consistently passive.....	4	4	4

MEDICATION ISSUES SCALE	A-1	B-1	C-1
	___	___	___

5.23. Adherence to Medication Requirements	A	B	C
No medication required.....	0	0	0
History of good adherence.....	1	1	1
Mostly adherent.....	2	2	2
Mostly not adherent.....	3	3	3
Not at all adherent to medication requirements.....	4	4	4

5.24. Adherence & Risks	A	B	C
No problems associated with adherence.....	0	0	0
Adherence failure could result in some prob.....	1	1	1
Adherence failure could result in moderate prob.....	2	2	2
Adherence failure likely to result is serious prob.....	3	3	3
Adherence failure poses imminent dangers.....	4	4	4

Comments:

## DAPPER-3 Dimension 6: Recovery Environment

SOCIAL SCALE	A-1	B-1	C-1
	_____	_____	_____

<b>6.1. Partner/Spouse</b>	A	B	C
Very supportive.....	0	0	0
Moderately supportive with some ambivalence .....	1	1	1
Marginally supportive/no partner or spouse .....	2	2	2
No support.....	3	3	3
Negative influence – oppositional/sabotaging .....	4	4	4

<b>6.2. Family/Relatives</b>	A	B	C
Very supportive.....	0	0	0
Moderately supportive with some ambivalence .....	1	1	1
Marginally supportive/no immediate support.....	2	2	2
No support.....	3	3	3
Negative influence – oppositional/sabotaging .....	4	4	4

<b>6.3. Close Friends</b>	A	B	C
Very supportive.....	0	0	0
Moderately supportive with some ambivalence .....	1	1	1
Marginally supportive/no close friends .....	2	2	2
No support.....	3	3	3
Negative influence – oppositional/sabotaging .....	4	4	4

<b>6.4. Extended Social Network</b>	A	B	C
Very supportive.....	0	0	0
Moderately supportive with some ambivalence .....	1	1	1
Marginally supportive/no social network .....	2	2	2
No support.....	3	3	3
Negative influence – oppositional/sabotaging .....	4	4	4

<b>6.5. Social Skills</b>	A	B	C
Excellent social skills/can make friends easily.....	0	0	0
Good social skills can make new sober friends .....	1	1	1
Limited social skills .....	2	2	2
Social skills insufficient to make friends easily.....	3	3	3
Impoverished social skills/socially phobic .....	4	4	4

ENVIRONMENT SCALE	A-1	B-1	C-1
	_____	_____	_____

<b>6.6. Living Situation</b>	A	B	C
Living in a dry, drug-free home.....	0	0	0
Safe, supportive in non-dry home.....	1	1	1
Living alone .....	2	2	2
Someone in household with SUD.....	3	3	3
Unstable residence/shelter/mission/homeless.....	4	4	4

<b>6.7. Neighborhood</b>	A	B	C
Few liquor outlets/no overt drug dealing.....	0	0	0
Alcohol & drugs readily obtainable .....	1	1	1
Liquor stores and bars/drug dealers near home .....	2	2	2
Bars/dealers prevalent.....	3	3	3
Extensive drug dealing/solicitation.....	4	4	4

<b>6.8. Subcultural Environment</b>	A	B	C
Subcultural norms strongly discourage addictive use ..	0	0	0
Subcultural norms discourage addictive use.....	1	1	1
Subcultural norms inconsistent re: addictive use.....	2	2	2
Subcultural norms encourage addictive use .....	3	3	3
Subcultural norms strongly encourage addictive use ..	4	4	4

<b>6.9. Work/School Environment</b>	A	B	C
Very supportive of recovery .....	0	0	0
Some support for recovery.....	1	1	1
Neutral environment.....	2	2	2
Encouraged to drink/use with co-workers/peers.....	3	3	3
Peers tend to be heavy drinkers/drug users.....	4	4	4

<b>6.10. Client's Ability to Deal With Environment</b>	A	B	C
Excellent ability to deal with environment.....	0	0	0
Can deal with the environment in most instances .....	1	1	1
Assistance in coping required.....	2	2	2
Frequent assistance required.....	3	3	3
Serious inability to deal with environment.....	4	4	4

<b>6.11. Leisure/Recreational Activities</b>	A	B	C
Positive activities not associated with use.....	0	0	0
Activities conducive to recovery available.....	1	1	1
Activities neutral for recovery .....	2	2	2
Alcohol or drugs available at some activities.....	3	3	3
Leisure/recreational activities pose severe risks.....	4	4	4

VICTIMIZATION RISK SCALE	A-1	B-1	C-1
	_____	_____	_____

<b>6.12. Abuse Victimization</b>	A	B	C
No risk for emotional, physical, or sexual abuse.....	0	0	0
Some risk for abuse .....	1	1	1
Above average risk for victimization .....	2	2	2
Substantial risk for abuse in current environment.....	3	3	3
Currently being abused.....	4	4	4

LOGISTIC ISSUES SCALE	A-1	B-1	C-1
	_____	_____	_____

<b>6.13. Logistical Barriers/Obstacles to Treatment</b>	A	B	C
No logistic impediments.....	0	0	0
Impediments readily overcome.....	1	1	1
Impediments serious but resolvable.....	2	2	2
Substantial impediments.....	3	3	3
Extreme impediments to treatment.....	4	4	4

<b>6.14. Logistical Barriers/Obstacles to Recovery</b>	A	B	C
No logistic impediments.....	0	0	0
Impediments readily overcome.....	1	1	1
Impediments serious but resolvable.....	2	2	2
Substantial impediments.....	3	3	3
Extreme impediments to recovery .....	4	4	4

**SCORES FOR SECOND SET OF RATINGS (A-2, B-2, & C-2)**

<b>Dimension 1</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 1.1 - 1.4: Use .....	___	___	___
Items 1.5 - 1.7: Intoxication .....	___	___	___
Items 1.8 - 1.10: Withdrawal.....	___	___	___
Items 1.11 - 1.12: Modifying factors.....	___	___	___

**Comments:**

<b>Dimension 2</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 2.1 – 2.3: Medical severity .....	___	___	___
Items 2.4 - 2.6: Medical management .....	___	___	___
Items 2.7 - 2.10: Treatment issues.....	___	___	___

**Comments:**

<b>Dimension 3</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 3.1 - 3.5: Violence .....	___	___	___
Items 3.6 - 3.10: Functioning .....	___	___	___
Items 3.11 - 3.14: Axis I.....	___	___	___
Items 3.15 – 3.16: Axis II.....	___	___	___
Item 3.17: Guilt .....	___	___	___

**Comments:**

<b>Dimension 4</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 4.1 - 4.6: Understanding .....	___	___	___
Items 4.7 - 4.9: Commitment.....	___	___	___
Items 4.10 - 4.12: Interpersonal.....	___	___	___
Items 4.13 - 4.14: Follow-through.....	___	___	___

**Comments:**

<b>Dimension 5</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 5.1 – 5.4: Engagement .....	___	___	___
Items 5.5 - 5.8: Risk factors.....	___	___	___
Items 5.9 - 5.11: Reactivity.....	___	___	___
Items 5.12 - 5.13: Craving .....	___	___	___
Items 5.14 - 5.16: Relapse factors .....	___	___	___
Items 5.17 - 5.22: Psych factors.....	___	___	___
Item 5.23 – 5.24: Medication issues .....	___	___	___

**Comments:**

<b>Dimension 6</b>	<b>A-2</b>	<b>B-2</b>	<b>C-2</b>
Items 6.1 - 6.5: Social.....	___	___	___
Items 6.6 - 6.11: Environment.....	___	___	___
Item 6.12: Victimization risk.....	___	___	___
Items 6.13 - 6.14: Logistic issues .....	___	___	___

**Comments:**

## Treatment Planning Considerations

Indicate areas requiring immediate attention and/or areas of concern to be addressed. High ratings (e.g., 3-4) may suggest priorities or areas requiring greater attention. List item numbers corresponding to the areas of concern.

### Initial Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

### Second Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

### Third Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

### Fourth Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

### Fifth Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

### Sixth Assessment

Dimension 1: \_\_\_\_\_

Dimension 2: \_\_\_\_\_

Dimension 3: \_\_\_\_\_

Dimension 4: \_\_\_\_\_

Dimension 5: \_\_\_\_\_

Dimension 6: \_\_\_\_\_

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### Additional Notes